

1609 ✓

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/549,951	
	Filing Date	March 16, 2004	
	First Named Inventor	Paul Harris	
	Art Unit	1609	
	Examiner Name	Noble E. Jarrell	
Total Number of Pages in This Submission	23	Attorney Docket Number	NRNZ-01048US1

ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm Or Individual name	D. Benjamin Borson, Registration No. 42,349 Borson Law Group, PC 1320 Willow Pass Rd., #490, Concord, CA 94520 Telephone: (925) 395-2060
Signature	<i>D. Benjamin Borson</i>
Date	December 18, 2007

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application

Inventor(s): Paul Harris

Appl. No.: 10/549,951

Filed: March 16, 2004

Title: Neuroprotective Macrocyclic Compounds And  
Methods For Their Use

**PATENT**

Examiner: Noble E. Jarrell

Confirmation Number: 1343

Art Unit: 1609

**Customer Number: 66936**

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D. Benjamin Borson, Ph.D., Reg. No. 42,349

Signature Date: December 18, 2007

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed in connection with the patent application referenced above. The Examiner is requested to consider each of the references cited herein and forward an initialed copy of the enclosed PTO Form SB/08A to the undersigned representative. This Statement is being filed:

- ☐ Upon filing the application.
- ☐ Within three months from the filing date of this application, which is not a continued prosecution application.
- ☐ Within three months from date of entry of the national stage for this application.
- ☐ Before the mailing date of a first Office action on the merits for this application.
- ☐ Before the mailing date of a first Office action after the filing of a request for continued examination.
- ☒ Before the mailing date of any of: (i) a Final Office Action, (ii) a Notice of Allowance, and (iii) any other action that closes prosecution of this application. The Statement is accompanied by:
  - ☐ A Statement pursuant to 37 C.F.R. §1.97(e) or
  - ☒ The fee set forth in 37 C.F.R. §1.17(p), believed to be \$180.00.

- ☐ Before payment of the Issue Fee. The Statement is accompanied by:
- ☐ A Statement pursuant to 37 C.F.R. §1.97(e) and
- ☐ The fee set forth in 37 C.F.R. §1.17(p), believed to be \_\_\_\_\_.

**I. Provision of Copies**

☒ A copy of each of the references listed on the attached Forms PTO SB/08A and SB/08B are enclosed herewith and form a part hereof.

☐ A translation of References AA, AB and AC are enclosed herewith and form a part hereof.

☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.

☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

☐ This application claims priority, pursuant to 35 U.S.C. §120, to prior U.S. Application No. \_\_\_\_\_, filed \_\_\_\_\_. References not submitted herewith were submitted to or cited by the Office in the prior application(s).

**II. Fee**

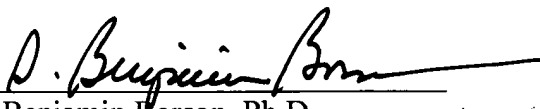
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Respectfully submitted,

Dated: December 18, 2007

  
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